

# APPLICATION UNDER UNITED STATES PATENT LAWS

Atty. Dkt. No. 42503/261933 ET-006

(M#)

Invention: OPTICAL ENCODING OF AUDIO DATA

Inventor (s): Dennis L. Montgomery

Pillsbury Winthrop LLP  
Intellectual Property Group  
1600 Tysons Boulevard  
McLean, Virginia 22012  
Atty: David A. Jakopin, Reg. 32,995  
Atty Telephone #: (650) 233-4790

This is a:

- ☐ Provisional Application
- ☒ Regular Utility Application
- ☐ Continuing Application
- ☐ PCT National Phase Application
- ☐ Design Application
- ☐ Reissue Application
- ☐ Plant Application

☐ Substitute Specification

Sub. Spec Filed

in App. No. /

☐ Marked up Specification re

Sub. Spec. filed

In App. No. /

## SPECIFICATION

Express Mail Label: EL904971310 US

Date of Deposit: October 31, 2001

I certify that this paper and listed enclosures are being deposited with the U.S. Post Office "Express Mail Post Office to Addressee" under 35 CFR 1.10 on the above date, addressed to the Commissioner for Patents, Washington, D.C. 20231

  
Margaret M. Hasson

# OPTICAL ENCODING OF AUDIO DATA

## FIELD OF THE INVENTION

[0001] The present invention relates to encoding data and more particularly to manipulating audio data so that it can be encoded along with video data.

## DESCRIPTION OF THE RELATED ART

[0002] Typically a movie includes a sequence of video frames together with a corresponding sequence of audio frames (i.e., a video track and an audio track). Synchronization of these frames on playback is crucial for an audience's appreciation of the movie. However, these sequences are generally processed separately because of characteristic differences between video and audio data. Compression is an example of a processing step that is performed separately for video and audio data.

[0003] The nature of video data requires that compression be performed separately. Video data is typically a frame corresponding to a two-dimensional display. For example, a DVD (Digital Video Disk) typically employs a 720x480 array of pixels where each pixel contains a multi-bit value, such as 16-bit, 24-bit or 32-bit, that corresponds to an enumerated color.

[0004] Audio data on the other hand, is typically time-varying waveform data that represents a voltage or current rather than color. The data can be 16-bit values or higher bit values that correspond to the voltage or current that will drive a speaker.

[0005] Because of these characteristic differences, separate encoders and decoders are used for video and audio data. Having two separate encoders and decoders is an inefficient use of resources and costly. Further, synchronization between the separate encoders and decoders may not be maintained. It would, therefore, be desirable to use one encoder and decoder for both video and audio data. The present invention provides a mechanism for allowing audio data to be manipulated so that it can be concurrently encoded and decoded with video data.

## SUMMARY OF THE INVENTION

[0006] A method for representing audio data in a format that can be operated upon independently, or merged with video data. The method includes replacing each audio information element in an audio sequence with a corresponding color from a color palette.

## BRIEF DESCRIPTION OF THE DRAWINGS

[0007] The present invention is illustrated by way of example, and not limitation, in the figures of the accompanying drawings in which like references denote similar elements, and in which:

**Figure 1a** illustrates a representative audio signal;

**Figure 1b** illustrates a representative digitally sampled audio signal;

**Figure 2** illustrates graphically a digitally sampled audio signal being mapped to colors selected from a palette of possible colors;

**Figure 3** illustrates a process for mapping a digitally sampled audio signal to colors selected from a palette of possible colors; and

**Figure 4** illustrates a process for recovering the audio frame from the color audio frame.

## DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS OF THE INVENTION

[0008] Methods and apparatus for manipulating audio data so that it may be encoded and decoded along with video data are described. In the following description, for purposes of explanation, numerous specific details are set forth in order to provide a thorough understanding of the present invention. It will be evident, however, to one skilled in the art that the present invention may be practiced with a variety of data, especially audio and video, without these specific details. In other instances, well-known operations, steps, functions and elements are not shown in order to avoid obscuring the invention.

[0009] Various operations will be described as multiple discrete steps performed in turn in a manner that is most helpful in understanding the present invention. However, the order of description should not be construed as to imply that these operations are necessarily performed in the order that they are presented, or even order dependent. Lastly, repeated usage of the phrases "in one embodiment," "an alternative embodiment," or an "alternate embodiment" does not necessarily refer to the same embodiment, although it may.

[0010] Figure 1a illustrates a representative audio signal. Before an audio signal can be digitally encoded and transmitted it needs to be transformed into a digital signal, although implementation of the present invention will typically occur on audio signals that have previously been transformed into digital signals. To transform audio signal 100 into a digital signal, audio signal 100 is typically sampled by an analog to digital converter at a predetermined rate to produce snapshots of the value of the audio signal at equally spaced intervals, as is conventionally known. Depending on the audio scheme being implemented a certain number of samples make up a frame. Typically, samples are encoded or processed using frames.

[0011] Figure 1b illustrates a representative digitally sampled audio signal. Digitally sampled audio signal 104 is a sequence of digital values, also termed digital audio signal elements, that are spaced apart by the same time interval. The sequence of digital audio signal elements can be represented in a two column table in which each row contains the time a sample was taken and the digital value of the sampled audio signal at the sample time. Table 106 shows such a table or data.

[0012] Since audio and video data have different formats, audio data is not conventionally appended to video data and encoded with it. The present invention provides a

mechanism for manipulating audio data so that it can be appended to video data for later encoding concurrently with the video data.

[0013] Figure 2 illustrates graphically a digitally sampled audio signal being mapped to colors selected from a palette of possible colors. Audio data from various points in time, each audio signal element in other words, is tracked in time based upon a header (not shown) that indicates the playback rate, which then allows playback of the sequence of digital audio signal elements at the appropriate time. All of the digital audio signal elements that occur at different points in time that have the same amplitude have the same color assigned to them. The process of mapping assigns a color to the corresponding digital audio signal element at each different point in time, as shown at 204. After the process of mapping, each of the digital audio signal elements, instead of having an associated amplitude, has an associated color obtained from a color lookup table. Audio signals that have the same amplitudes will thus have the same color. For example,  $t_1$ ,  $t_7$ , and  $t_{22}$  all have the same color assigned to them from the palette 200. Similarly,  $t_2$  and  $t_{20}$  have the same pointer, 1, assigned to them. The color assigned to a particular amplitude is thus a function of the amplitude. Palette 200 is a sub-palette of the palette of possible colors.

[0014] Figure 3 illustrates a process for mapping a digital audio signal element to a color selected from a palette of possible colors. According to process 300, the amplitude for a digital audio signal element is read in at 302. At 304, it is determined whether a color has been previously assigned to the amplitude. If a color has been previously assigned to the amplitude, the previously assigned color lookup for the color is assigned to the current element sample at 306. If a color has not been previously assigned to the amplitude, a new color lookup is assigned to both the color and amplitude and the color is added to a sub-palette at 308. The sub-palette is the set of colors that have been assigned to the amplitudes of the digitally sampled audio signal elements. At 310, it is determined whether there are any more digital audio signal elements to process. If there are more digital audio signal elements to process, process 300 advances to the next sample at 312 and the amplitude for the current sample is read in at 302. At the end of process 300, the sub-palette contains all the colors that were needed to describe the amplitudes at all the times of the digitally sampled audio signal elements. Also for each sample in the frame, instead of an amplitude there is an associated color from the sub-palette. The output of process 300 are a frame that contains the sub-palette and the sequence digital audio signals in their transformed color format.

